

DOCKET NO.: MSFT-0109/127334.9



FILE COPY

Receipt

PATENT

RECEIVED

MAY 23 2000

TC 2700 MAIL ROOM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Marcus Peinado; Paul England;
Ramarathnam Venkatesan

Serial No.: 09/482,840

Group Art Unit: 2768

Filing Date: January 13, 2000

Examiner: Not Yet Assigned

For: METHOD FOR OBTAINING A BLACK BOX FOR PERFORMING
DECRYPTION AND ENCRYPTION FUNCTIONS IN A DIGITAL RIGHTS
MANAGEMENT (DRM) SYSTEM

DATE OF DEPOSIT:

Apr. 125, 2000

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH
THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL,
POSTAGE PREPAID ON THE DATE INDICATED ABOVE AND IS
ADDRESSED TO OFFICE OF INITIAL PATENT EXAMINATION,
CUSTOMER SERVICE CENTER, ASSISTANT COMMISSIONER FOR
PATENTS, WASHINGTON, DC 20231.

Steven H. Meyer
TYPED NAME: STEVEN H. MEYER
REGISTRATION NO.: 37,189

Office of Initial Patent Examination
Customer Service Center
Assistant Commissioner for Patents
Washington DC 20231
Attention:

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a Corrected Filing Receipt is respectfully requested. The requested changes are noted thereon, as well as listed below.
2. There is an error with respect to the following data which is:
 incorrectly entered; and/or

omitted.

<i>Error in</i>	<i>Correct data</i>	RECEIVED MAY 23 2000 TC 2700 MAIL ROOM
<p>Applicant's names. Only the inventors listed herein under "Correct Data" should be listed on the corrected Filing Receipt.</p>	<p>1. Marcus Peinado 2. Paul England 3. Ramarathnam Venkatesan</p>	
<p>Also, please note the correct spelling of the 3rd inventor's name [no extra "n" before the "th"]: R a m a r a t h n a m V e n k a t e s a n</p>		

A copy of the unexecuted *Supplemental Declaration and Power of Attorney* filed with the application on January 13, 2000, and listing the inventors set forth above, is enclosed herewith.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.

Date: Apr. 125, 2000

Steven H. Meyer
STEVEN H. MEYER
Registration No. 37,189

Woodcock Washburn Kurtz Mackiewicz & Norris LLP
One Liberty Place - 46th Floor
Philadelphia PA 19103
Telephone: (215) 568-3100
Facsimile: (215) 568-3439

SJR/SHM
cb

FILING RECEIPT



OC00000004990510

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/482,840	01/13/2000	2768	1854	MSFT-0109/127334.9	12	106	5

Steven H Meyer
 WOODCOCK WASHBURN KURTZ MACKIEWICZ & NORRIS LLP
 One Liberty Place
 46th Floor
 Philadelphia, PA 19103

RECEIVED

MAR 16 2000

Woodcock Washburn K
Kurtz Mackiewicz & Norris LLP

RECEIVED

MAY 23 2000

Date Mailed: 03/13/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

- Marcus Peinado, Bellevue, WA ;
~~Rajasekhar Abburi, Medina, WA ;~~
~~Arnold N. Blinn, Bellevue, WA ;~~
~~Thomas C. Jones, Redmond, WA ;~~
~~John L. Manferdelli, Redmond, WA ;~~
~~Jeffrey R. C. Bell, Seattle, WA ;~~
~~Ramaranthram Venkatesan, Redmond, WA ;~~
~~Paul England, Bellevue, WA ;~~
~~Mariusz H. Jakubowski, Bellevue, WA ;~~
~~Hai Ying Vincent Yu, Bellevue, WA ;~~

Ramaranthram Venkatesan, Redmond WA
 Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 09/290,363 04/12/1999
 WHICH IS A PRO OF 60/126,614 03/27/1999

Foreign Applications

Foreign filing license granted on 03/13/2000

Title

Method For Obtaining A Black Box For Performing Decryption And Encryption Functions In A
 Digital Rights Management (DRM) System

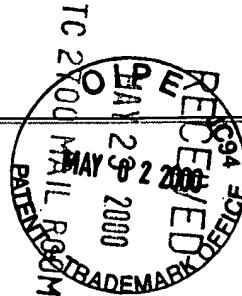
Preliminary Clas

705

Data entry by : THOMAS, SHEILA

Team : OIPE

Date: 03/13/2000



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

FILE COPY



Bib Data Sheet



UNITED STATES DEPARTMENT OF
COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SERIAL NUMBER 09/482,840	FILING DATE 01/13/2000 RULE -	CLASS 705	GROUP ART UNIT 2768 3625	ATTORNEY DOCKET NO. MSFT-0109/127334.9
-----------------------------	-------------------------------------	--------------	--------------------------------	--

APPLICANTS

Marcus Peinado, Bellevue, WA ;
Paul England, Bellevue, WA ;
Ramarathnam Venkatesan, Redmond, WA ;

CHAN

** CONTINUING DATA *****

THIS APPLICATION IS A CON OF 09/290,363 04/12/1999
WHICH CLAIMS BENEFIT OF 60/126,614 03/27/1999

** FOREIGN APPLICATIONS *****

IF REQUIRED, FOREIGN FILING LICENSE
GRANTED ** 03/13/2000

**

Foreign Priority claimed	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no		
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance		
Verified and Acknowledged	Examiner's Signature: Initials:		
STATE OR COUNTRY	SHEETS DRAWING	TOTAL CLAIMS	INDEPENDENT CLAIMS
WA	12 ✓	76 ✓	5 ✓

ADDRESS

Steven H Meyer
WOODCOCK WASHBURN KURTZ MACKIEWICZ & NORRIS LLP
One Liberty Place
46th Floor
Philadelphia, PA 19103

TITLE

Method For Obtaining A Black Box For Performing Decryption And Encryption Functions In A Digital Rights Management (DRM) System

FILING FEE RECEIVED 1854	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
-----------------------------	---	---